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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/700,786	11/03/2003	Mariusz H. Jakubowski	MS1-1664US	5484
22801 LEE & HAYE	7590 05/21/2007 S PLLC	EXAMINER		
421 W RIVERSIDE AVENUE SUITE 500			DEBNATH, SUMAN	
SPOKANE, WA 99201		•	ART UNIT	PAPER NUMBER
			2135	-
		•	NOTIFICATION DATE	DELIVERY MODE
•			05/21/2007	ELECTRONIC

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

lhptoms@leehayes.com

		Application No.	Applicant(s)				
Office Action Summary							
		10/700,786	JAKUBOWSKI ET AL.				
		Examiner	Art Unit				
	The MAILING DATE of this communication app	Suman Debnath  ears on the cover sheet with	2135				
	Period for Reply						
WHICH - Extension - Extension - If NO per - Failure to Any rep	RTENED STATUTORY PERIOD FOR REPLY IEVER IS LONGER, FROM THE MAILING DATE ons of time may be available under the provisions of 37 CFR 1.13 (6) MONTHS from the mailing date of this communication. Beriod for reply is specified above, the maximum statutory period we to reply within the set or extended period for reply will, by statute, by received by the Office later than three months after the mailing patent term adjustment. See 37 CFR 1.704(b).	TE OF THIS COMMUNICA 6(a). In no event, however, may a rep ill apply and will expire SIX (6) MONTH cause the application to become ABAI	ATION.  ly be timely filed  HS from the mailing date of this communication.  NDONED (35 U.S.C. § 133).				
Status			·				
1)⊠ R	Responsive to communication(s) filed on 19 April 2007.						
	This action is <b>FINAL</b> . 2b)⊠ This action is non-final.						
•	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is						
Cl	closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.						
Disposition	n of Claims						
<ul> <li>4)  Claim(s) 1-16,32-39 and 56-71 is/are pending in the application.</li> <li>4a) Of the above claim(s) 17-31, 40-55 and 72-85 is/are withdrawn from consideration.</li> <li>5)  Claim(s) is/are allowed.</li> <li>6)  Claim(s) 1-16, 32-39 &amp; 56-71 is/are rejected.</li> <li>7)  Claim(s) is/are objected to.</li> <li>8)  Claim(s) are subject to restriction and/or election requirement.</li> </ul>							
Application	n Papers						
	ne specification is objected to by the Examiner		•				
10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.							
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).							
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.							
Priority under 35 U.S.C. § 119							
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of: <ol> <li>Certified copies of the priority documents have been received.</li> <li>Certified copies of the priority documents have been received in Application No</li> <li>Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> </ol> </li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>							
2) Notice of 3) Informa	of References Cited (PTO-892) of Draftsperson's Patent Drawing Review (PTO-948) tion Disclosure Statement(s) (PTO/SB/08) No(s)/Mail Date	Paper No(s)/	mmary (PTO-413) Mail Date ormal Patent Application				

#### **DETAILED ACTION**

1. This written action is responding to the communication dated on 04/19/2007.

### Election/Restrictions

- 2. Applicant elected without traverse group I, claims 1-16, 32-39 and 56-71, in the reply filed on April 19<sup>th</sup>, 2007.
- 3. Claims 17-31, 40-55 and 72-85 corresponding to group 2 and group 3 are withdrawn from further consideration as drawn to a non-elected invention.

### Claim Objections

4. Claims 1, 8, 15, 16, 70 and 71 are objected to for lack of antecedent basis:

Claim 1 recites "a user key" in line 5.

Claim 8 recites "each master key" in line 8.

Claim 15 recites "the selected user id" in line 17.

Claim 16 recites "the selected id" in line 6.

Claim 70 recites "the selected user id" in line 13.

Claim 71 recites "the selected user id" in line 7.

Appropriate correction is required.

## Claim Rejections - 35 USC § 103

5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

Art Unit: 2135

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

- 6. Claims 1-6, 9-10, 32-36, 38-39 and 56-65 are rejected under 35 U.S.C. 103(a) as being unpatentable over Challener et al. (Pub. No.: US 2003/0105980 A1), hereinafter "Challener" in view of Bailey (Patent No.: US 7,205,883 B2).
- 7. As to claim 1, Challener discloses a method comprising: creating a data structure including a plurality of user id-user key pairs (FIG. 1, [0019]), each user id-user key pair comprising a user id associated with one of a plurality of users (FIG. 1, [0019], [0021]). Challener doesn't explicitly disclose a user key comprising a master key encrypted using a password associated with the one of the plurality of users; and delivering the data structure to one or more of the plurality of users.

However, Bailey discloses a user key comprising a master key encrypted using a password associated with the one of the plurality of users (FIG. 4, column 8, lines 7-25, "...the password retrieved from the host system is used to create a wrapping key K.......the SAK is wrapped using key K to produce a K-wrapped secondary authentication key..."); and delivering the data structure to one or more of the plurality of users (column 8, lines 7-25, "The [SAK].sub.K is transmitted to the host site...").

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention was made to modify the teaching of Challener as taught by Bailey in order to improve security in password-based access to a network.

Art Unit: 2135

8. As to claims 32 and 56, these are rejected using the same rationale as for the rejection of claim 1.

- 9. As to claim 57, it is rejected using the same rationale as for the rejection of claim1.
- 10. As to claims 2, 33, 58 and 59, Challener doesn't explicitly disclose wherein the act of delivering comprises delivering the data structure to each of the plurality of users. However, Bailey discloses wherein the act of delivering comprises delivering the data structure to each of the plurality of users (column 8, lines 7-25, "The [SAK].sub.K is transmitted to the host site...").

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention was made to modify the teaching of Challener as taught by Bailey in order to support multiple users in password-based access to a network.

11. As to claims 3 and 60, Challener discloses a hash of the password associated with the one of the plurality of users (FIG. 1, [0002]). Challener doesn't explicitly disclose wherein each master key is encrypted using a hash of the password. However, Bailey discloses wherein each master key is encrypted using a hash of the password (FIG. 4, column 8, lines 7-25).

Art Unit: 2135

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention was made to modify the teaching of Challener as taught by Bailey in order to improve security in password-based access to a network.

- 12. As to claims 4, 5, 34, 35, 61 and 62, these are rejected using the same rationale as for the rejection of claim 3.
- 13. As to claims 6, 36 and 63, Challener discloses wherein each user key has an integrity verification feature associated therewith ([0019], "The phrase signed with the loaded private key is then compared with the stored signed phrase associated with the remote user..").
- 14. As to claims 9, 38 and 64, Challener discloses wherein each user key includes a checksum ([0002], [0019]).
- 15. As to claims 10, 39 and 65, Challener discloses wherein each user key includes a keyed-hash message authentication code ([0019], "The phrase signed with the loaded private key is then compared with the stored signed phrase associated with the remote user..").

Application/Control Number: 10/700,786

Art Unit: 2135

- 16. Claims 7-8, 11-15, 37 and 66-70 are rejected under 35 U.S.C. 103(a) as being unpatentable over Challener in view of Bailey and further in view of Thomlinson et al. (Patent No.: US 6,272,631 B1), hereinafter "Thomlinson".
- 17. As to claims 7, 8 and 37, neither Challener nor Bailey explicitly discloses wherein each master key has an integrity verification feature associated therewith. However, Thomlinson discloses wherein each master key has an integrity verification feature associated therewith (column 10, lines 30-65, "The master authentication key is used in conjunction with the specified MAC to verity that the master key decrypted correctly").

Therefore it would have been obvious to one of ordinary skill in the art at the time of the invention was made to modify the teaching of Challener and Bailey as taught by Thomlinson in order to increase security by verifying the remote user's identity.

18. As to claims 11 and 66, neither Challener nor Bailey explicitly discloses transforming data using the master key. However, Thomlinson discloses transforming data using the master key (column 10, lines 30-65, "The master key is then used to decrypt an appropriate item key...").

Therefore it would have been obvious to one of ordinary skill in the art at the time of the invention was made to modify the teaching of Challener and Bailey as taught by Thomlinson in order to increase security by verifying the remote user's identity.

Art Unit: 2135

19. As to claims 12 and 67, neither Challener nor Bailey explicitly disclose storing data transformed using the master key; and controlling access by the plurality of users to the transformed data. However, Thomlinson discloses storing data transformed using the master key (column 9, lines 65-67, "The item key and item authentication key are then encrypted using a master key"); and controlling access by the plurality of users to the transformed data (column 9, lines 50-67 and column 10, lines 1-10).

Therefore it would have been obvious to one of ordinary skill in the art at the time of the invention was made to modify the teaching of Challener and Bailey as taught by Thomlinson in order to increase security by verifying the remote user's identity.

20. As to claims 13 and 68, Challener discloses receiving a user id and user password from one of the plurality of users ([0019], [0021]). Neither Challener nor Bailey explicitly discloses storing data transformed using the master key; and controlling access to the transformed data by the one of the plurality of users based on the received user id and user password. However, Thomlinson discloses storing data transformed using the master key (column 9, lines 50-67 and column 10, lines 1-10); and controlling access to the transformed data by the one of the plurality of users based on the received user id and user password (column 9, lines 30-67 and column 10, lines 1-10).

Therefore it would have been obvious to one of ordinary skill in the art at the time of the invention was made to modify the teaching of Challener and Bailey as taught by Thomlinson in order to increase security by verifying the remote user's identity.

Art Unit: 2135

21. As to claims 14 and 69, these are rejected using the same rationale as for the rejection of claim 13.

22. As to claims 15 and 70, Challener discloses receiving a user id and user password from one of the plurality of users ([0019], [0021]); selecting a user key from the data structure based on the received user id (FIG. 1, [0019], [0021]). Challener doesn't explicitly disclose storing data transformed using the master key; decrypting the selected user id using the received password to reproduce the master key; and using the master key to access the data.

However, Bailey discloses decrypting the selected user id using the received password to reproduce the master key (FIG. 4, column 8, lines 7-25, "..K-unwrapping of [SAK].sub.K.").

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention was made to modify the teaching of Challener as taught by Bailey in order to improve security in password-based access to a network.

Neither Challener nor Bailey explicitly discloses storing data transformed using the master key; and using the master key to access the data.

However, Thomlinson discloses storing data transformed using the master key (column 9, lines 65-67, "The item key and item authentication key are then encrypted using a master key"); and using the master key to access the data (column 9, lines 30-67 and column 10, lines 1-10).

Art Unit: 2135

Therefore it would have been obvious to one of ordinary skill in the art at the time of the invention was made to modify the teaching of Challener and Bailey as taught by Thomlinson in order to increase security by verifying the remote user's identity.

- 23. Claims 16 and 71 are rejected under 35 U.S.C. 103(a) as being unpatentable over Challener and further in view of Bailey, Thomlinson and Tewfik et al. (Pub. No.: US 2003/0095685 A1), hereinafter "Tewfik".
- 24. As to claims 16 and 71, Challener discloses receiving a user id and user password from one of the plurality of users ([0019], [0021]); selecting a user key from the data structure based on the received user id (FIG. 1, [0019], [0021]). Hashing the received password to produce a hash value (FIG. 1, [0002]). Challener doesn't explicitly disclose storing data watermarked using the master key; decrypting the selected user id using the received password to reproduce the master key; and using the master key to access the watermarked data.

However, Bailey discloses decrypting the selected user id using the received password to reproduce the master key (FIG. 4, column 8, lines 7-25, "..K-unwrapping of [SAK].sub.K.").

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention was made to modify the teaching of Challener as taught by Bailey in order to improve security in password-based access to a network.

Application/Control Number: 10/700,786

Art Unit: 2135

Neither Challener nor Bailey explicitly discloses storing data watermarked using the master key; and using the master key to access the watermarked data.

However, Thomlinson discloses storing data transformed using the master key (column 9, lines 65-67, "The item key and item authentication key are then encrypted using a master key"); and using the master key to access the data (column 9, lines 30-67 and column 10, lines 1-10).

Therefore it would have been obvious to one of ordinary skill in the art at the time of the invention was made to modify the teaching of Challener and Bailey as taught by Thomlinson in order to increase security by verifying the remote user's identity.

Neither Challener and Bailey nor Thomlinson explicitly discloses watermarked data. However, Tewfik discloses watermarked data ([0015], [0020]). Therefore it would have been obvious to one of ordinary skill in the art at the time of the invention was made to modify the teaching of Challener, Bailey and Thomlinson as taught by Tewfik in order to protect contents from unauthorized duplication.

#### Conclusion

- 25. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. See accompanying PTO 892.
  - US 6834112 B2 Secure distribution of private keys to multiple clients.
  - US 2002/0144128 A1 Secure remote access and transmission.

Art Unit: 2135

26. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Suman Debnath whose telephone number is 571 270 1256. The examiner can normally be reached on 8 am to 5 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kim Y. Vu can be reached on 571 272-3859. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

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HOSUK SONG PRIMARY EXAMINER